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**STOCKHOLM INTERNATIONAL
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Stockholm International Peace Research Institute

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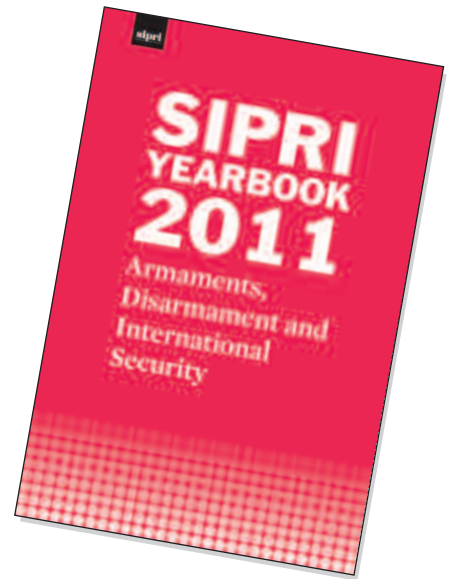
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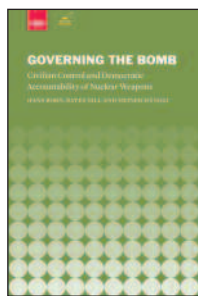
SECURITY SECTOR GOVERNANCE

NEW 2010

Governing the Bomb

Civilian Control and Democratic Accountability of Nuclear Weapons

Edited by **Hans Born**, Geneva Centre for the Democratic Control of Armed Forces (DCAF), **Bates Gill**, SIPRI, and **Heiner Hänggi**, DCAF and University of St Gallen, Switzerland



'This important book advances public knowledge of the domestic governance of nuclear weapons and weapon programmes ... The standard of analysis is high throughout.'

William Walker, University of St Andrews, *itlas*

Governing the Bomb describes how eight nuclear-armed states—China, France, Russia, the UK and the USA as well as India, Israel and Pakistan—manage their nuclear weapon arsenals. It presents an overview of each state's varying nuclear history and nuclear force structure in the context of its individual political and cultural history. Based on relatively new theoretical and policy approaches, such as 'security sector governance', the authors are particularly interested in understanding how various political institutions and civil society at large shape a state's nuclear policies and operational realities. With a special emphasis on what effect the balance between secrecy and openness has on larger questions of security and democracy, *Governing the Bomb* explores how greater democracy, transparency and accountability could improve security governance and promote the aims of arms control.

October 2010 | 265 pages | OUP/Stockholm International Peace Research Institute

978-0-19-958990-6, HARDBACK £40.00/\$75.00

THE ARMS INDUSTRY

FORTHCOMING 2012

Arms Industry Transformation and Integration

The Choices of Central Europe

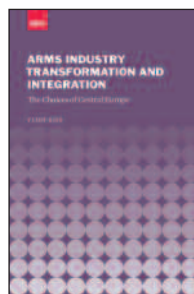
Yudit Kiss

The Central European arms industry underwent dramatic changes as it adjusted from the old command economy to the needs of the new socio-economic system. It radically downsized, reorganized and restructured, with NATO membership having a decisive impact on the sector's transformation and Central Europe's integration into its new institutional environment. By the first decade of the 21st century, a significantly reduced and restructured arms industry had emerged, with important segments integrated in the global arms producing networks.

This report describes how the arms industries of six Central European countries adjusted to political and economic changes in both the domestic and international contexts. It offers a comparative analysis of the post-cold war experience of Bulgaria, the Czech Republic, Hungary, Poland, Romania and Slovakia and provides valuable lessons for other countries in their processes of socio-economic transformation.

December 2012 | 300 pages | OUP/Stockholm International Peace Research Institute

978-0-19-927173-3, HARDBACK £35.00/\$72.00



MILITARY EXPENDITURE

Budgeting for the Military Sector in Africa

The Processes and Mechanisms of Control

Edited by **Wuyi Omitoogun**, SIPRI, and **Eboe Hutchful**, African Security Dialogue and Research (ASDR), Accra

In this comprehensive study, 13 experts describe and analyse the military budgetary processes and degree of oversight and control in eight African countries — Ethiopia, Ghana, Kenya, Mali, Mozambique, Nigeria, Sierra Leone and South Africa — spanning the continent's sub-regions. Each country study addresses a wide range of questions, such as the roles of the finance and defence ministries, budget offices, audit departments and external actors in the military budgetary processes; the extent of compliance with standard public expenditure management procedures; and how well official military expenditure figures reflect the true economic resources devoted to military activities in these countries.

The framework for the country studies is provided by a detailed model for good practice in budgeting for the military sector. The individual studies are tied together by a synthesis chapter, which provides a comparative analysis of the studies, classifies the eight countries according to their adherence to the principles of public expenditure management and explains why individual countries find themselves with a certain classification.

The book draws on the results of the country studies and their analysis by making concrete recommendations to the governments of African countries and the international community. While the military sector in many African states is believed to be favoured in terms of resource allocation and degree of political autonomy, it is not subject to the same rules and procedures as other sectors. Because of the unique role of the armed forces as the guarantor of national security, and their demand for a high degree of confidentiality in certain activities, the military sector receives a significant proportion of state resources and is not subject to public scrutiny. The book argues that while the military sector requires some confidentiality it should be subject to the same standard procedures and rules followed by other state sectors.

2006 | 312 pages | tables | OUP/Stockholm International Peace Research Institute

978-0-19-926266-3, HARDBACK £62.00/\$99.00



Military Expenditure Data in Africa

A Survey of Cameroon, Ethiopia, Ghana, Kenya, Nigeria and Uganda

Wuyi Omitoogun, SIPRI

This study examines the availability and reliability of official military expenditure data for a set of countries in West, Central and East Africa, using a combination of interviews with key actors in the military budgeting process and official documents in the selected countries. The author describes the opportunities and challenges met in searching for reliable, disaggregated military expenditure data in these countries. The report concludes that data is available in many of these countries but that further research is needed to determine whether it reflects actual expenditure by these countries on all of their military activities.

SIPRI Research Report No. 17

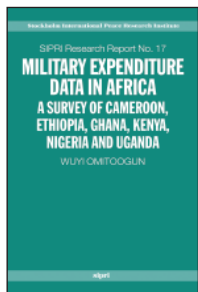
2004 | 160 pages | OUP/Stockholm International Peace Research Institute

978-0-19-924502-4, PAPERBACK

£24.00/\$40.00

978-0-19-924503-1, HARDBACK

£69.00/\$99.00



EUROPEAN SECURITY & ARMS CONTROL

The Nordic Countries and the European Security and Defence Policy

Edited by **Alyson J. K. Bailes**, SIPRI, **Gunilla Herolf**, Swedish Institute of International Affairs (SIIA), and **Bengt Sundelius**, Swedish Emergency Management Agency (SEMA)

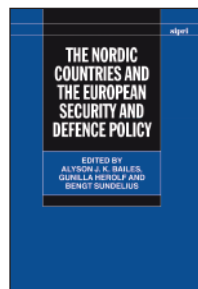
A special feature of Europe's Nordic region is that only one of its states has joined both the European Union and NATO. Nordic countries also share a certain distrust of approaches to security that rely too much on force or that may disrupt the logic and liberties of civil society. Impacting on this environment, the EU's decision in 1999 to develop its own military capacities for crisis management — taken together with other ongoing shifts in Western security agendas and US-Europe relations — has created complex challenges for Nordic policy establishments.

This multi-author volume combines the techniques of reporting and analysis, debate and prediction to illuminate the consequences for the five Nordic countries, Denmark, Finland, Iceland, Norway and Sweden. The views expressed in it by Nordic and non-Nordic, younger and more established analysts reflect the political and intellectual ferment triggered in the Nordic region by these developments, in the process shedding light on defence and security challenges that matter deeply for Europe as a whole.

2006 | 448 pages | OUP/Stockholm International Peace Research Institute

978-0-19-929084-0, HARDBACK

£62.00/\$110.00



Confidence- and Security-Building Measures in the New Europe

Zdzislaw Lachowski, SIPRI

After the end of the cold war, confidence- and security-building measures (CSBMs) became a means to manage the changes that were taking place in Europe and to enhance cooperative relations among states based on partnership, mutual reassurance and transparency. The CSBMs have lost much of their former function and are now designed to better respond to new risks and challenges in the field of security and help create stability, both in interstate relations and in areas of tension and conflict. The aim of CSBMs today is twofold: to strengthen the pan-European process of building confidence and security; and to develop measures and arms control-related arrangements below the continental level — at the regional, sub-regional, bilateral and intra-state levels.

This Research Report reviews the evolution and use of CSBMs and other norm- and standard-setting measures over the past 15 years, especially in times of crisis, and the attempts to streamline and improve their operation. CSBMs are now increasingly being used in the OSCE regional and sub-regional contexts. The report contains important reference material on military security endeavours of this type.

SIPRI Research Report No. 18

2004 | 237 pages | OUP/Stockholm International Peace Research Institute

978-0-19-829789-5, PAPERBACK

£24.00/\$40.00

978-0-19-829788-8, HARDBACK

£66.00/\$65.00



TECHNOLOGY & NON-PROLIFERATION

Enforcing European Union Law on Exports of Dual-use Goods

Anna Wetter, Uppsala University

This book identifies and assesses the role of national law enforcement actors and public prosecutors in the European Union in preventing the proliferation of weapons of mass destruction by stopping the illicit trade in dual-use goods. It centres around in-depth studies of the responses to several cases uncovered in Europe in the 1980s and 1990s, including the supply of sensitive items to Pakistan's nuclear weapon programme. Some of these cases have been prosecuted; others were never brought to trial.

The book argues that customs and prosecution standards need to be better coordinated between EU members in order to prevent the abuse of the EU's single market—particularly the free movement of goods. It also calls for coordination of penalties for offenders.

With more illegal exports of dual-use goods coming to light, *Enforcing European Union Law on Exports of Dual-use Goods* is an invaluable guide for public prosecutors and law enforcement actors, as well as for policymakers and anyone else who wants to understand this crucial aspect of the European non-proliferation regime.

SIPRI Research Report No. 24

April 2009 | 188 pages | OUP/Stockholm International Peace Research Institute

978-0-19-954896-5, HARDBACK

£41.00/\$75.00



Transparency in Nuclear Warheads and Materials

The Political and Technical Dimensions

Edited by Nicholas Zarimpas, SIPRI

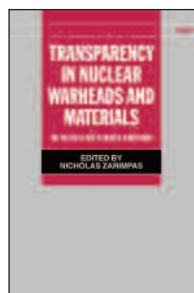
General requirements for establishing a verification regime in the context of moving towards a nuclear weapon-free world have been the subject of numerous studies during the past decade.

The studies presented in this book add significantly to the general discussion by addressing the technical means and procedures for establishing transparency in nuclear warheads and materials in the nuclear weapon states. The chapters that analyse the political dimension provide a historic overview and different perspectives on transparency. Recent initiatives are examined — not only the US–Russian arms control and security dialogue, but also developments in the other nuclear weapon states. The capabilities of the US and Russian nuclear weapon complexes to undertake irreversible warhead elimination are considered, as are the effects of increased transparency on international security. The book analyses proposed arrangements for establishing stockpile declarations, for verifying warhead dismantlement and the storage and disposition of fissile materials, and for monitoring the closure or conversion of nuclear facilities. In this light it provides a comprehensive, in-depth appraisal of a possible role for the IAEA. The contributors represent an exceptionally wide range of experience and knowledge on the increasingly important issue of transparency.

2003 | 290 pages | tables and figures | OUP/Stockholm International Peace Research Institute

978-0-19-925242-8, HARDBACK

£79.00/\$125.00



Reforming Nuclear Export Controls

The Future of the Nuclear Suppliers Group

Ian Anthony, SIPRI, Christer Ahlström, Uppsala University, and Vitaly Fedchenko, SIPRI

The diversion to military programmes of materials and technologies obtained from foreign suppliers for peaceful purposes has played a prominent role in the known cases of nuclear proliferation. The need to strengthen nuclear export controls has been identified by the G8 group of industrialized states and the European Union. This study examines the structure and activities of the Nuclear Suppliers Group (NSG) as an ad hoc group of 44 states committed to applying effective controls on the export of nuclear-related dual-use equipment and material.

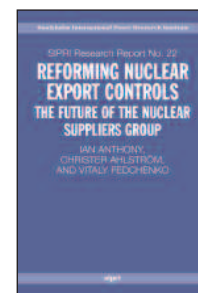
In this context, the study raises the question of what kinds of nuclear activity are consistent with the obligations of states parties to the nuclear Non-Proliferation Treaty (NPT). It examines the relationship and contradictions between Article IV of the NPT, which gives non-nuclear weapon states parties an 'inalienable right' to nuclear materials and technology for peaceful uses, and the rules and guidelines agreed by the NSG, which are intended to block access to nuclear material and technologies for military use. The study considers how these guidelines are interpreted in two specific cases: Iran and India. It examines the potential impact on decision making within the NSG of the tendency to divide the world into categories based on 'good' and 'bad' behaviour using political criteria rather than the record of each recipient vis-à-vis the nuclear non-proliferation regime. The study also discusses whether and to what extent other current processes and instruments intended to prevent nuclear proliferation — specifically the Proliferation Security Initiative and the activities of the International Atomic Energy Agency and the UN '1540' Committee — can supplement export control decision making, or even supplant the role of the NSG.

SIPRI Research Report No. 22

2007 | 160 pages | OUP/Stockholm International Peace Research Institute

978-0-19-929086-4, PAPERBACK

£19.00/\$35.00



Europe and Iran

Perspectives on Non-Proliferation

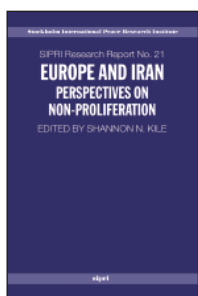
Edited by **Shannon N. Kile**, SIPRI

The controversy over the scope and nature of Iran's nuclear activities has become an important and early test of the European Union's efforts to implement a more coherent, multi-functional strategy to combat the spread of weapons of mass destruction. This Research Report brings together European and Iranian perspectives on the nuclear controversy as well as on other key security challenges in the region. Using Iran as a case study, it examines the practical implications of the EU's recent decision to 'mainstream' non-proliferation policies into its relations with outside countries. The report also considers the special competences and comparative advantages which the EU can bring to regional initiatives aimed at preventing the spread of WMD capabilities.

SIPRI Research Report No. 21

2005 | 152 pages | OUP/Stockholm International Peace Research Institute

978-0-19-929088-8, PAPERBACK £23.00/\$45.00
978-0-19-929087-1, HARDBACK £51.00/\$85.00



Technology and Security in the 21st Century

A Demand-Side Perspective

Amitav Mallik, National Security Advisory Board, Government of India

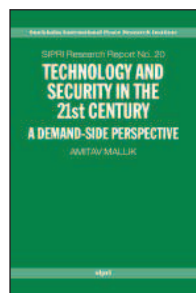
The immense impact of technology on both warfare and security has been clearly demonstrated and has heightened concerns relating to the proliferation of military technology and its misuse by irresponsible actors. Technology control regimes are a vital component of national security strategies. This report presents evidence of the increasing relevance of technology in the 21st century and a brief review of the control regimes, as well as the perspectives of progressive developing nations. It highlights the need for a new approach to the management of technology and security issues. Meeting future challenges to arms control and non-proliferation will require wider cooperation among nations in a rapidly changing and interdependent world in which some of the new technologies will further accentuate security sensitivities.

The report advocates the abandonment of cold war mindsets and makes a case for a broader cooperative approach to the management of technology controls. International cooperation will be vital for achieving the objectives of non-proliferation, disarmament and global socio-economic progress and will facilitate steps towards the elimination of weapons of mass destruction and the dangers posed by terrorism, thereby fostering enhanced international peace and stability.

SIPRI Research Report No. 20

2004 | 176 pages | OUP/Stockholm International Peace Research Institute

978-0-19-927176-4, PAPERBACK £26.00/\$53.00
978-0-19-927175-7, HARDBACK £66.00/\$99.00



Reducing Threats at the Source

A European Perspective on Cooperative Threat Reduction

Ian Anthony, SIPRI

In 2002 the Group of Eight industrialized nations — in which Canada, France, Germany, Italy, Japan, Russia, the UK, the USA and representatives of the European Union participate — formed the Global Partnership Against the Spread of Weapons and Materials of Mass Destruction. The G8 pledged to raise up to \$20 billion to carry out the Global Partnership projects over a 10-year period, initially in Russia but with the intention to expand the scope of projects to include other countries. These projects will help to specify the quantities and locations of weapons and materials and ensure that stocks are held under safe and secure custody to prevent diversion to unauthorized users or inappropriate uses. If the weapons or materials are not required, this practical assistance can also help to eliminate the surplus.

The G8 initiative is only one of a number of activities sharing the same basic features: tailor-made measures jointly implemented on the territory of one state by a coalition including states, international organizations, local and regional governments, non-governmental organizations and the private sector.

This report reviews the current cooperative threat reduction activities with a particular focus on projects and approaches engaging European partners. It examines the organizing principles for cooperative threat reduction and the lessons learned from past project implementation. Finally, it examines how European countries might organize their cooperative threat reduction activities to increase their coherence and effectiveness.

SIPRI Research Report No. 19

2003 | 120 pages | OUP/Stockholm International Peace Research Institute

978-0-19-927178-8, PAPERBACK £24.00/\$45.00
978-0-19-927177-1, HARDBACK £54.00/\$85.00



CHEMICAL & BIOLOGICAL WARFARE

The Evolution of Biological Disarmament

Nicholas A. Sims, London School of Economics and Political Science

The evolution of the disarmament regime of the 1972 Biological and Toxin Weapons Convention (BTWC) is described from 1980, when the first BTWC Review Conference was held, until 1998. The author analyses the results of the first four review conferences; the meetings of the Ad Hoc Group of Governmental Experts to Identify and Examine Potential Verification Measures from a Scientific and Technical Standpoint; the 1994 Special Conference; the current negotiations of the Ad Hoc Group to produce an additional protocol to the BTWC, which may include verification measures; handling of the 1997 Cuban allegation of US biological warfare; and the implementation of Article X of the BTWC on international transfers and cooperation for peaceful purposes. The strength of the BTWC regime is assessed in the light of its evolution through the review process and its changing contexts, including Russia's admission that it had inherited an offensive biological weapon programme. The book applies an original sector-by-sector approach to its analysis of the BTWC, studied in a long-term perspective.

SIPRI Chemical & Biological Warfare Studies No. 19

2001 | 220 pages | tables | annexes | OUP/Stockholm International Peace Research Institute

978-0-19-829578-5, PAPERBACK

£56.00/\$85.00

Biological and Toxin Weapons

Research, Development and Use from the Middle Ages to 1945

Edited by Erhard Geissler, Max Delbrück Centre for Molecular Medicine, Berlin-Buch, and John Ellis van Courtland Moon, Fitchburg State College, Massachusetts

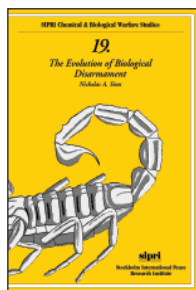
This interdisciplinary volume is a history and comparative examination of major biological warfare programmes up to 1945. It analyses the origins of biological warfare planning and preparation up to the end of World War II. During World War II several countries developed major biological warfare programmes. Their relevance to contemporary concerns is addressed. It argues for adoption of the verification protocol to strengthen the 1972 Biological and Toxin Weapons Convention.

SIPRI Chemical & Biological Warfare Studies No. 18

1999 | 296 pages | tables | OUP/Stockholm International Peace Research Institute

978-0-19-829579-2, PAPERBACK

£68.00/\$115.00



BUSINESS & SECURITY

Business and Security

Public-Private Sector Relationships in a New Security Environment

Edited by Alyson J. K. Bailes, SIPRI, and Isabel Frommelt, SIPRI

The private business sector suffered both directly and indirectly from the terrorist strikes of 11 September 2001. Many business workers perished in the New York World Trade Center, and the insurance, transport and tourism industries were hard hit by the aftermath. Restrictions imposed in the name of security made business travel and the movement of goods more complicated. The private sector was called upon to support the fight against terrorism actively with tougher controls on money transfers and on the export of dangerous materials and technologies.

These events offered a striking illustration of the growing interdependence of the private and public sectors in tackling present-day security challenges. The impact of the private sector, for good or ill, in areas of conflict has long been recognized. Private business is at the centre of the latest concerns over the vulnerability of crucial infrastructures, including energy supply. Systematic public-private sector consultation and partnership are needed to tackle these problems, but neither the principles nor comprehensive mechanisms for such cooperation have yet been identified.

This book brings together reflections on general and specific aspects of public-private sector interaction from a variety of experts in business, government, international organizations and the academic world. For completeness and balance, it also enquires into the costs of security and includes perspectives from Africa and the Arab world. It offers new reference material to help in the further exploration of this important subject.

2004 | 348 pages | OUP/Stockholm International Peace Research Institute

978-0-19-927450-5, HARDBACK

£68.00/\$115.00



CONFLICT MANAGEMENT & PEACEBUILDING

Terrorism in Asymmetrical Conflict

Ideological and Structural Aspects

Ekaterina Stepanova, SIPRI

The conventional discourse on — and responses to — contemporary terrorism are challenged in this thought-provoking book. It examines the synergy between the extremist ideologies and the organizational models of non-state actors that use terrorist means in asymmetrical conflict. This synergy makes terrorist groups so resilient in the face of the counterterrorist efforts of their main opponents — the state and the international system — which are conventionally more powerful.

The book argues that the high mobilization potential of the supranational extremist ideology inspired by al-Qaeda cannot be effectively counterbalanced by the mainstream global ideologies or even by moderate Islamism. In contrast to local grass-roots movements that combine Islamism with nationalism, autonomous cells of al-Qaeda-inspired transnational violent movement do not defend territory, nation or the state. They fight for an all-embracing mode of existence, for a global social, political and religious order based on their radical ideology. Unless the ideology of transnational violent Islamism is 'nationalized' and its organizational forms are transformed through integration into national political context, it is unlikely to be amenable to persuasion and external influence or to be destroyed by repression.

SIPRI Research Report no. 23

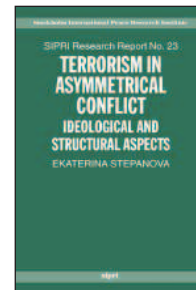
2008 | 200 pages | OUP/Stockholm International Peace Research Institute

978-0-19-953356-5, PAPERBACK

£18.00/\$29.95

978-0-19-953355-8, HARDBACK

£45.00/\$74.00



NEW IN PAPERBACK

Humanitarian Military Intervention*The Conditions for Success and Failure*

Taylor B. Seybolt, United States Institute of Peace (USIP)

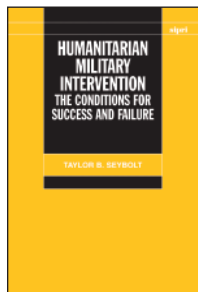
This study focuses on the question of the legitimacy of military intervention for humanitarian purposes. Drawing on just war theories, it identifies the principle of 'reasonable prospect of success' as a key determinant for legitimacy and proposes two standards against which military intervention could be evaluated. In the short term, the standard is the number of lives saved and, in the long term, the degree of political stability which the country achieves. The author first sets out a methodology for assessing numbers of lives saved before analysing 20 military operations in six conflict areas — Iraq after the Gulf War, Somalia, Bosnia and Herzegovina, Rwanda, Kosovo and East Timor — and their effect on mortality and political stability in each case.

The study posits that, in the short term, the majority of past interventions have helped to save lives but not as many as is often claimed. Most interventions, however, have failed in the long-term objective of promoting political stability and in some circumstances have actually undermined this objective. The study suggests that part of the reason for this chequered record of success is the strategies employed by interveners, and it examines four strategies that have shaped interventions in the 20 military operations. The strategies employed by interveners, the author argues, are crucial for ensuring a reasonable prospect of success, and therefore legitimacy, of a military intervention.

2008 | 312 pages | tables and figures | OUP/Stockholm International Peace Research Institute

978-0-19-955105-7, PAPERBACK

£24.00/\$45.00

**The Use of Force in UN Peace Operations**

Trevor Findlay, SIPRI

One of the most vexing issues that has faced the international community since the end of the cold war has been the use of force by United Nations peacekeeping forces. UN intervention in civil wars, as in Somalia, Bosnia and Herzegovina, and Rwanda, has thrown into stark relief the difficulty of peacekeepers operating in situations where consent to their presence and activities is fragile or incomplete and where there is little peace to keep. Complex questions arise in these circumstances. When and how should peacekeepers use force to protect themselves, to protect their mission, or, most troublingly, to ensure compliance by recalcitrant parties with peace accords? Is a peace enforcement role for peacekeepers possible or is this simply war by another name? Is there a grey zone between peacekeeping and peace enforcement? Trevor Findlay recounts the history of the use of force by UN peacekeepers from Sinai in the 1950s to East Timor in 2001. He untangles the arguments about the use of force in peace operations and sets these within the broader context of military doctrine and practice. Drawing on these insights the author examines proposals for future conduct of UN operations, including the formulation of UN peacekeeping doctrine and the establishment of a UN rapid reaction force.

2002 | 500 pages | OUP/Stockholm International Peace Research Institute

978-0-19-829282-1, HARDBACK

£86.00/\$115.00



FORTHCOMING 2012

The Civilian Contribution to Peace Operations

Sharon Wharta, SIPRI, and **Stephanie Blair**, SIPRI

The Civilian Contribution to Peace Operations is a much needed corrective to the scant attention that has been paid to the pivotal role that civilians play in the success of peace operations around the world. Grounded in an examination of the ability of multilateral and regional organizations and national governments to effectively deploy appropriate civilian expertise to peace operations, the report offers a comprehensive accounting of the wide-ranging involvement of civilians in the myriad aspects of peace operations—including security and governance, mission support, humanitarian activities, economic revitalization and political affairs.

Drawing from expert workshops held in Africa, Europe, South America and South East Asia, *The Civilian Contribution to Peace Operations* gives fresh insight into perennially thorny questions such as why there is an overwhelming demand for civilian expertise, what type of expertise is needed and why some of it is lacking. The authors not only describe the varying progress and challenges facing each region in its approach to managing and expanding its civilian contribution to peace operations but also explore new ideas and solutions that would be particularly applicable in each regional context.

SIPRI Research Report

December 2012 | c. 200 pages | OUP/Stockholm International Peace Research Institute

978-0-19-956137-5

Executive Policing*Enforcing the Law in Peace Operations*

Edited by **Renata Dwan**, SIPRI

The United Nations peace operations in Kosovo and East Timor were responsible for the enforcement of law and order, establishing local police forces, and protecting and promoting human rights. This executive authority distinguished them from earlier missions where civilian police were deployed. In *Executive Policing: Enforcing the Law in Peace Operations* seven authors examine the legal and political implications of this new role, the training of international police in a multinational and multicultural context, the use of community policing, the crucial issue of cooperation between the military and the civilian police components, and what was learned about planning for the handover to local authority.

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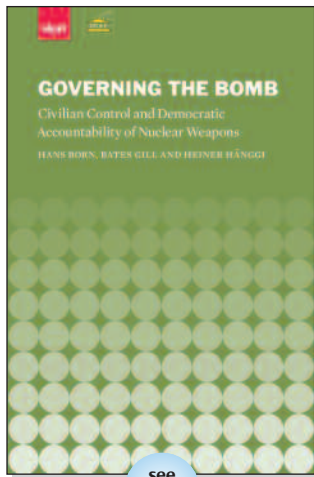
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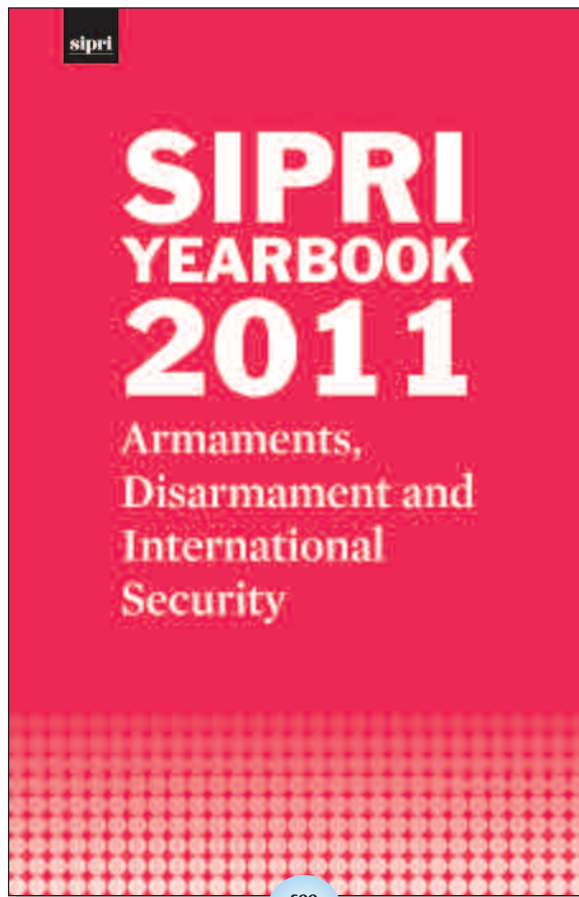
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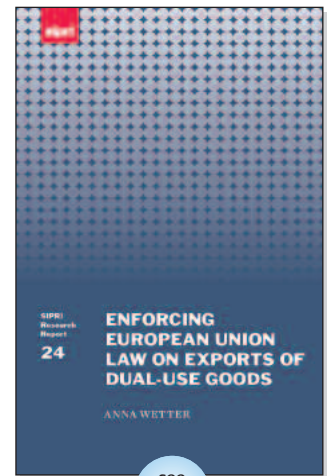
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